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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

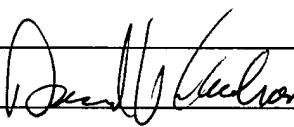
(To be used for all correspondence  
after initial filing)

Application Number	10/031,043
Filing Date	May 30, 2002
First Named Inventor	Aloys Wobben
Group Art Unit	3745
Examiner Name	
Attorney Docket No.	970054.411USPC

## ENCLOSURES (check all that apply)

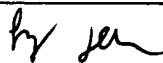
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> CD(s), Number of CD(s) _____
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/> Communication to Group
<input checked="" type="checkbox"/> After Final	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement; Form PTO-1449	<input type="checkbox"/> Declaration	<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Cited References (2)	<input type="checkbox"/> Statement under 37 CFR 3.73(b)	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	<u>Copy of German search report from corresponding document reference DE 199 92 394</u>
<input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53	<input type="checkbox"/> Small Entity Statement	
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## Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Individual Name	David V. Carlson Reg. No. 31,153	 00500 PATENT TRADEMARK OFFICE
Signature		
Date	October 9, 2002	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

Typed or printed name	Larry Dobson	
Signature		Date: October 9, 2002

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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Aloys Wobben  
 Application No. : 10/031,043  
 Filed : May 30, 2002  
 For : WIND ENERGY FACILITY WITH A CLOSED COOLING CIRCUIT

Art Unit : 3745  
 Docket No. : 970054.411USPC  
 Date : October 9, 2002

Commissioner for Patents  
 Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed).

Applicant notes that reference AG (DE 198 02 574 A1) is written in German. Accordingly, the Examiner's attention is respectfully directed to the English language abstract enclosed with it. The German search report is also enclosed from which this reference was cited so the Examiner can see the basis of the citation.

As to any reference supplied, applicant does not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserves the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

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Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,  
Aloys Wobben  
Seed Intellectual Property Law Group PLLC

  
\_\_\_\_\_  
David V. Carlson  
Registration No. 31,153

DVC:ljd

Enclosures:

Postcard  
Form PTO/SB/21  
Form PTO-1449  
Cited References (2)  
Copy of German search report from corresponding document reference DE 199 92 394

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FORM PTO-1449 REV 2/80	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 970054.411USPC	APPLICATION NO. 10/031,043
OCT 8 2002 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT Aloys Wobben		
		FILING DATE May 30, 2002	GROUP ART UNIT 3745	

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
•	AD						
←	AE						
	AF						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
					YES NO
	AG	DE 198 02 574 A1	03/11/99	Germany, with English Abstract	
	AH	WO 00/68570	11/16/00	WIPO	
	AI				
	AJ				
	AK				

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER	DATE CONSIDERED
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\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).